

UTILITY PATENT
APPLICATION TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

001765

Total Pages

First Named Inventor or Application Identifier

Katsuhiko OKI, Koji KISHINO and Toshio KORO

Express Mail Label No.

Check Box, if applicable [] Duplicate

APPLICATION ELEMENTS FOR:
METHOD AND SYSTEM FOR e-TRANSACTION

ADDRESS TO: Director of Patents and Trademarks
BOX PATENT APPLICATIONS
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (Incorporated within this form) (The filing fee is deferred).
2. ☒ Specification Total Pages [32]
3. ☒ Drawing(s) (35 USC 113) Total Sheets [6]
4. ☐ Oath or Declaration Total Pages []
NOT attached; deferred under P.L. 97-247.
 - a. ☐ Newly executed (original)
 - b. ☐ Copy from prior application (37 CFR 1.63(d)
(for continuation/divisional with Box 17 completed).
 - i. ☐ Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by reference (useable if box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement Verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet and document(s)) to: Kabushiki Kaisha I Wave Associates, Tokyo, Japan
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) [] Power of Attorney

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10. ☐ English translation Document (if applicable)

11. ☐ Information Disclosure Statement ☐ Copies of IDS Citations

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)

14. ☒ Small Entity Status is claimed.

15. ☒ Claim for Convention Priority ☐ Certified copy of Priority Documents

a. Priority of Japanese application No. 2000-269173 filed on September 5, 2000 is claimed under 35 USC 119.

16. ☐ Other _____

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. ____/____

| FEE TRANSMITTAL | Number Filed | Number Extra | Rate | Basic . . Fee |
|---------------------------------------|--------------|--------------|-----------|------------------|
| The filing fee is calculated below | | | | \$710.00 |
| Total Claims | 37 - 20 | 17 | x \$18.00 | \$306.00 |
| Independent Claims | 3 - 3 | | x \$80.00 | |
| Multiple Dependent Claims | | | \$270.00 | |
| Basic Filing Fee | | | | \$1016.00 |
| Reduction by 1/2 for small entity | | | | \$-508.00 |
| Fee for recording enclosed Assignment | | | \$40.00 | \$40.00 |
| TOTAL | | | | \$548.00 |

XX NO FEE ENCLOSED. THE FILING FEE IS DEFERRED.

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☐ A check in the amount of \$____ is enclosed to cover the filing fee of \$____ and the assignment recordation fee of \$____.

☐ Please charge our Deposit Account No. **01-2340** in the total amount of _____ to cover the filing fee and the _____ assignment recordation fee. A duplicate of this sheet is attached.

☐ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

18. CORRESPONDENCE ADDRESS:



23850

PATENT TRADEMARK OFFICE

SUBMITTED BY

Typed or Printed Name Donald W. Hanson

Reg. No. 27,133

Signature

Date: January 11, 2001